

Randomness –

A computational complexity view

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Plan of the talk

- **Computational complexity**
 - **efficient algorithms, hard and easy problems,**
P vs. NP
- **The power of randomness**
 - **in saving time**
- **The weakness of randomness**
 - **what is randomness ?**
 - **the hardness vs. randomness paradigm**
- **The power of randomness**
 - **in saving space**
 - **to strengthen proofs**

Easy and Hard Problems

asymptotic complexity of functions

Multiplication

`mult(23,67) = 1541`

Factoring

`factor(1541) = (23,67)`

grade school algorithm: n^2 steps on n digit input
best known algorithm: $\exp(\sqrt{n})$ steps on n digits

EASY

P - Polynomial time algorithm

HARD?

-- we don't know!

-- the whole world thinks so!

Map Coloring and P vs. NP

Input: planar map M
(with n countries)

2-COL: is M 2-colorable? **Easy**

3-COL: is M 3-colorable? **Hard?**

4-COL: is M 4-colorable? **Trivial**

Thm: If **3-COL** is **Easy**
then **Factoring** is **Easy**

-Thm [Cook-Levin '71, Karp '72]: **3-COL** is **NP-complete**

-.... Numerous equally hard problems in all

Science problem **Formal**: Is 3-COL Easy?

Informal: Can creativity be automated?



Fundamental question

#1

Is **NP \neq P** ? More generally how fast can we solve:

- Factoring integers
- Map coloring
- Satisfiability of Boolean formulae
- Computing the Permanent of a matrix
- Computing optimal Chess/Go strategies
-

Best known algorithms: exponential time/size.

Is exponential time/size necessary for some?

The Power of Randomness

Host of problems for which:

- We have **probabilistic** polynomial time algorithms
- We (still) have **no deterministic** algorithms of subexponential time.

Coin Flips and Errors



Algorithms will make decisions using coin flips

0111011000010001110101010111...

(flips are **independent** and **unbiased**)

When using coin flips, we'll guarantee:
“**task will be achieved, with probability >99%**”

Why tolerate errors?

- We tolerate uncertainty in life
- Here we can reduce error arbitrarily

Number Theory: Primes

Problem 1 [Gauss]: Given $x \in [2^n, 2^{n+1}]$, is x prime?

1975 [Solovay-Strassen, Rabin] :
Probabilistic

2002 [Agrawal-Kayal-Saxena]:
Deterministic !!

Problem 2: Given n , find a prime in $[2^n, 2^{n+1}]$

Algorithm: Pick at random $x_1, x_2, \dots, x_{1000n}$

For each x apply primality test

Algebra: Polynomial Identities

Is $\det \begin{pmatrix} 1 & 1 & \dots & 1 \\ x_1 & x_2 & \dots & x_n \\ x_1^2 & x_2^2 & \dots & x_n^2 \\ \vdots & \vdots & \ddots & \vdots \\ x_1^{n-1} & x_2^{n-1} & \dots & x_n^{n-1} \end{pmatrix} \cdot \prod_{i < k} (x_i - x_k) \equiv 0 ?$

Theorem [Vandermonde]: YES

Given (implicitly, e.g. as a formula) a polynomial p of degree d . Is $p(x_1, x_2, \dots, x_n) \equiv 0$?

Algorithm [Schwartz-Zippel '80] :

Pick r_i indep at random in $\{1, 2, \dots, 100d\}$

$p \equiv 0 \Rightarrow \Pr[p(r_1, r_2, \dots, r_n) = 0] = 1$

$p \neq 0 \Rightarrow \Pr[p(r_1, r_2, \dots, r_n) \neq 0] > .99$

Applications: Program testing

Analysis: Fourier coefficients

Given (implicitly) a function $f: (\mathbb{Z}_2)^n \rightarrow \{-1, 1\}$

(e.g. as a formula), and $\epsilon > 0$,

Find all characters χ such that $|\langle f, \chi \rangle| \geq \epsilon$

Comment : At most $1/\epsilon^2$ such χ

Algorithm [Goldreich-Levin '89] :

...adaptive sampling... $\Pr[\text{success}] > .99$

[AGS] : Extension to other Abelian groups.

Applications: Coding Theory, Complexity

Geometry: Estimating Volumes

Given (**implicitly**) a convex body **K** in \mathbb{R}^d (d large!)
(**e.g. by a set of linear inequalities**)

Estimate volume (**K**)

Comment: Computing volume(**K**) exactly is #P-complete

Algorithm [Dyer-Frieze-Kannan '91]:

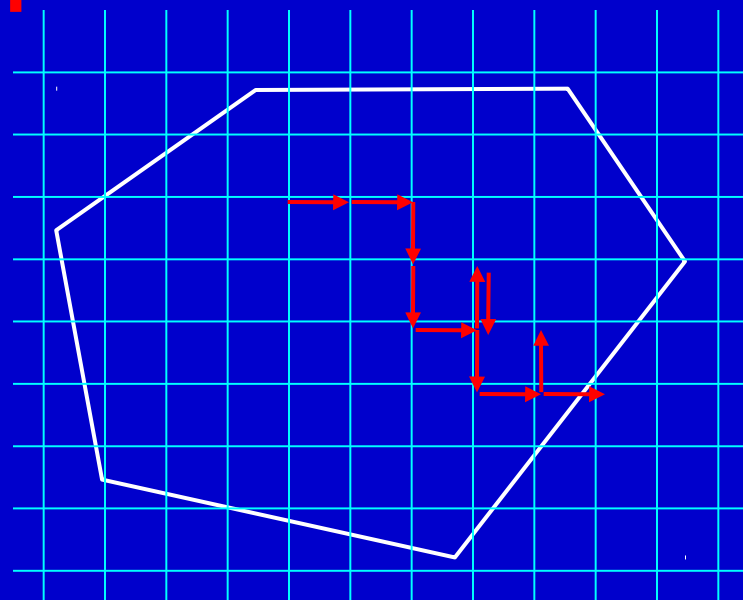
Approx counting \approx random sampling

Random walk inside **K**.

Rapidly mixing Markov chain.

Analysis:

Spectral gap \approx isoperimetric inequality



Fundamental question #2

Does randomness help ?

Are there problems with probabilistic polytime algorithm but no deterministic one?

Conjecture 2: YES

Fundamental question #1

Does NP require exponential time/size ?

Conjecture 1: YES

Theorem: One of these conjectures is false!

Hardness vs. Randomness

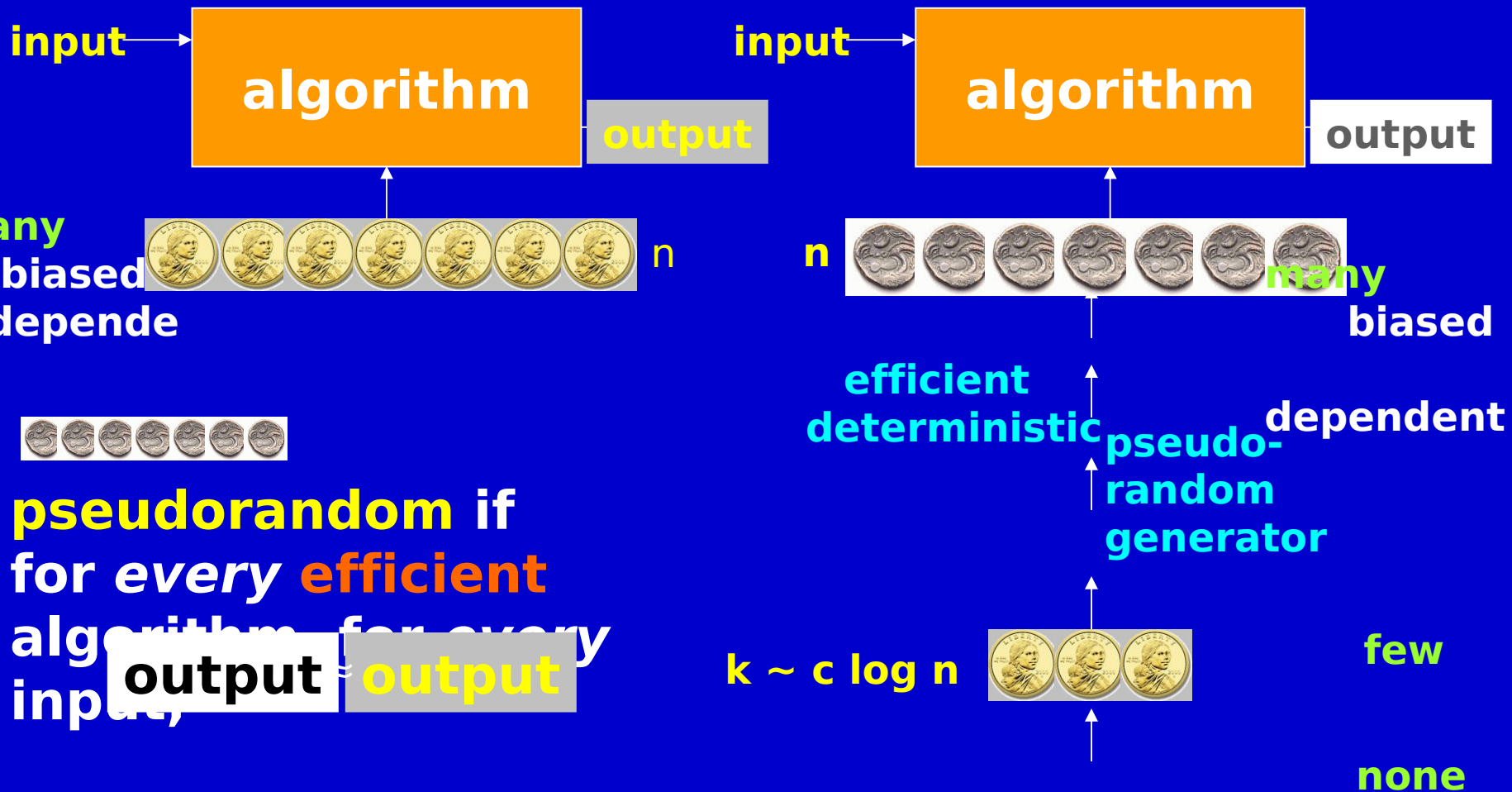
Theorems [Blum-Micali, Yao, Nisan-
Wigderson,

Impagliazzo-Wigderson...] :

If there are natural hard problems
Then randomness can be efficiently
eliminated.

Theorem [Impagliazzo-Wigderson '98]
NP requires exponential *size* circuits \Rightarrow
every probabilistic polynomial-time
algorithm has a deterministic

Computational Pseudo-Randomness



Hardness \Rightarrow Pseudorandomness

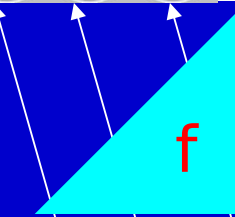
Need G : k bits \rightarrow n bits

NW generator

Show G : k bits \rightarrow $k+1$ bits



$k+1$



$k \sim \text{clog } n$

Need: f hard on *random* input Average-case hardness

Hardness amplification

Have: f hard on *some* input Worst-case hardness

Derandomization



Deterministic algorithm:
Try all possible $2^k = n^c$ "seeds"
Take majority vote

pseudorandomness paradigm:
can derandomize specific
algorithms **without** assumptions!

G efficient
deterministic
pseudo-
random
generator



$k \sim c \log n$

.g. **Primality Testing & Maze exploration**

Randomness and space complexity

Getting out of mazes (when your memory is weak)

n-vertex maze/graph

Only a local view (logspace)

Theorem [Aleliunas-Karp-Lipton-Lovasz-Rackoff '80]:

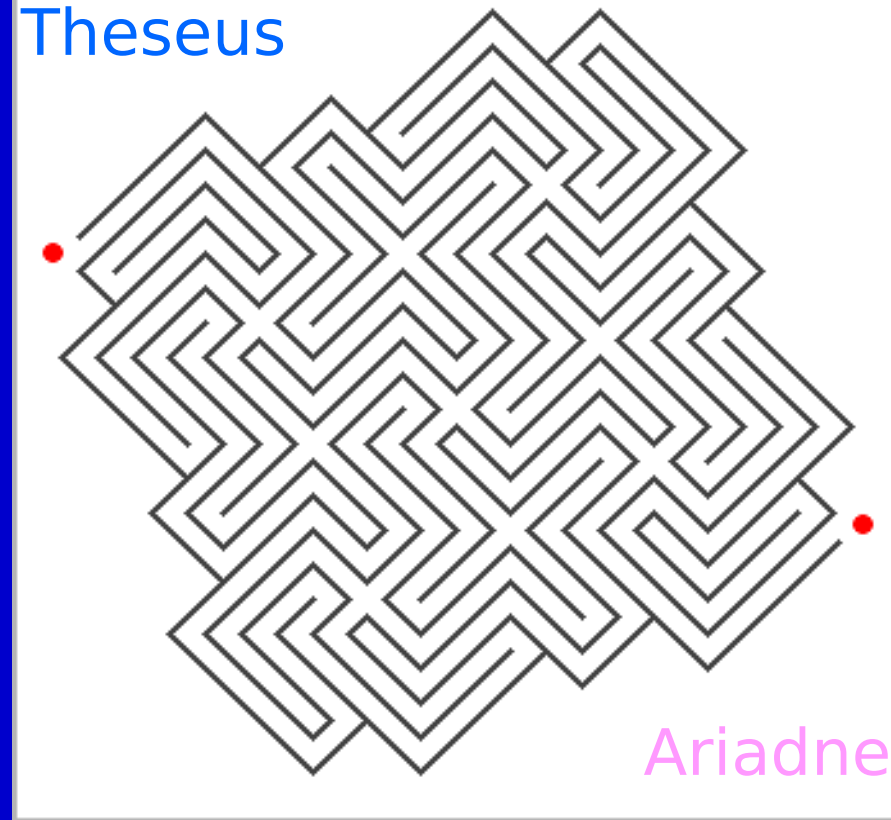
A random walk will visit **every** vertex in n^2 steps (with probability $>99\%$.)

Theorem [Reingold '06]:

A **deterministic** walk, computable in logspace, will visit **every** vertex.

Uses **ZigZag** expanders [Reingold-Vadhan-Wigderson '02]

Theseus



Crete, ~1000 BC

The power of pandomness in Proof Systems

Probabilistic Proof System

[Goldwasser-Micali-Rackoff, Babai '85]

Is a mathematical statement **claim** true? E.g.

claim: "No integers $x, y, z, n > 2$ satisfy $x^n + y^n = z^n$ "

claim: "The Riemann Hypothesis has a 200 page proof"

probabilistic

An efficient **Verifier** $V(\text{claim}, \text{argument})$ satisfies:

*) If **claim** is true **always** then $V(\text{claim}, \text{argument}) = \text{TRUE}$

for some **argument**

(in which case **claim=theorem, argument=proof**)
with probability $> 99\%$

) If **claim is false then $V(\text{claim}, \text{argument}) =$

Remarkable properties of Probabilistic Proof Systems

- Probabilistically Checkable Proofs (PCPs)
- Zero-Knowledge (ZK) proofs

Probabilistically Checkable Proofs (PCPs)

claim: The Riemann Hypothesis

Prover: (argument)

Verifier: (editor/referee/amateur)

Verifier's concern: Is the **argument** correct?

PCPs: Ver reads 100 (random) bits of
argument.

**Th[Arora-Lund-Motwani-Safra-Sudan-
Szegedy'90]**

Every proof can be eff. transformed to a
PCP

Reference: /cs.cmu.edu/~laurie/lectures/PCP/PCP1.pdf

Zero-Knowledge (ZK) proofs

[Goldwasser-Micali-Rackoff '85]

claim: The Riemann Hypothesis

Prover: (argument)

Verifier: (editor/referee/amateur)

Prover's concern: Will Verifier publish first?

ZK proofs: argument reveals **only** correctness!

Theorem [Goldreich-Micali-Wigderson '86]:

Every proof can be efficiently transformed to a ZK proof. *assuming*

Conclusions & Problems

When resources are limited, basic notions get new meanings (**randomness, learning, knowledge, proof, ...**).

- **Randomness** is in the eye of the beholder.
- **Hardness** can generate (good enough) **randomness**.
- Probabilistic algs seem powerful but probably are not.
- Sometimes this can be proven! (Mazes, Primality)
- **Randomness** is essential in some settings.

Is Factoring HARD? Is electronic commerce secure?

Is Theorem Proving Hard? Is P=NP? Can creativity