# CIRCUITS, LOWER BOUNDS, AND CIRCUIT ANALYSIS ALGORITHMS

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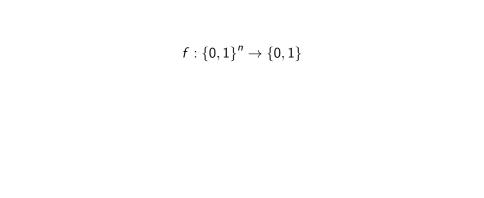
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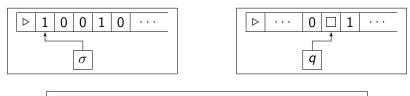
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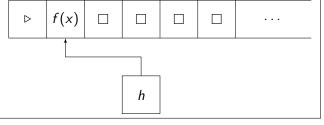
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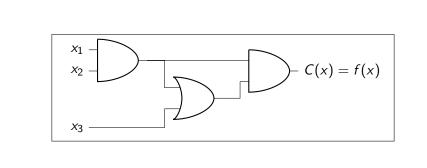
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# Introduction









#### Definition

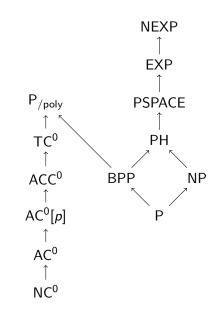
 $P = \bigcup_{k \in \mathbb{N}} \mathsf{TIME}(n^k).$ 

### Definition

 $\mathsf{P}_{/\mathsf{poly}} = \bigcup_{k \in \mathbb{N}} \mathsf{SIZE} ig( n^k ig).$ 

### Lemma

 $\mathsf{P} \subsetneq \mathsf{P}_{\mathsf{/poly}}.$ 



### Circuit Lower Bounds

### Question (OPEN)

 $\mathsf{NP} \overset{?}{\subseteq} \mathsf{P}.$ 

 $\begin{array}{l} \text{Question (OPEN)} \\ \text{NP} \overset{?}{\subseteq} P_{/poly}. \end{array}$ 

Question ? NP  $\subseteq$  AC<sup>0</sup>.

Question  $\stackrel{?}{\subseteq} NC^0$ .

Theorem ([FSS81, Ajt83, Yao85, Hås86])

\_ ---

 $\oplus \notin AC^0$ .

Lemma  $NP \not\subseteq NC^0$ .

Corollary

 $\mathsf{NP} \not\subseteq \mathsf{AC}^0.$ 

### Question

 $NP \stackrel{?}{\subseteq} AC^0[p].$ 

Theorem ([Raz87, Smo87])

 $MOD_q \notin AC^0[p]$ .

Corollary

 $NP \not\subseteq AC^0[p]$ .

Theorem ([MW18])







NQuasiP  $\not\subset$  ACC<sup>0</sup>.

Question (OPEN)









## Circuit Analysis Notions

### Definition (Satisfiability)

**Input** : A Boolean circuit  $C: \{0,1\}^n \to \{0,1\}$  from a circuit class  $\mathfrak{C}$ .

**Question**: Is there any  $x \in \{0,1\}^n$  such that C(x) = 1?

### Definition (Minimum Circuit Size Problem [KC00])

**Input**: The truth table  $tt(f) \in \{0,1\}^{2^n}$  of a Boolean function  $f: \{0,1\}^n \to \{0,1\}$  and an integer  $1 \le s \le 2^n$ .

**Question**: Is there any Boolean circuit  $C: \{0,1\}^n \to \{0,1\}$  of size |C| < s that computes f?

### Definition (Learning [Val84, KV94])

Let  $0 < \varepsilon, \delta < 1$ .

**Input** : An oracle for some Boolean function  $f:\{0,1\}^n \to \{0,1\}$  from a circuit class  $\mathfrak{C}$ .

**Output**: A circuit  $h: \{0,1\}^n \to \{0,1\}$ , such that

$$\Pr_{x \sim \{0,1\}^n}[h(x) \neq f(x)] \leq \varepsilon,$$

with probability at least  $1 - \delta$ .

### Definition (Compression [CKK+14])

**Input**: The truth table  $\operatorname{tt}(f) \in \{0,1\}^{2^n}$  of some Boolean function  $f:\{0,1\}^n \to \{0,1\}$  from a circuit class  $\mathfrak{C}$ .

**Output**: A circuit C of size  $o(2^n/n)$  that computes f.

### Definition (Natural Properties [RR94])

Let

- $\mathcal{F}_n$  denote the set of all Boolean functions on n variables,
- D be some complexity class, and
- $s: \mathbb{N} \to \mathbb{N}$  is a size function.

A D-natural property useful against  $\mathfrak{C}[s]$  is an algorithm

- $A: \{0,1\}^{2^n} \to \{0,1\}$  such that
  - 1. A is computable in D,
  - 2. if  $f \in \mathfrak{C}[s]$ , then  $A(\mathsf{tt}(f)) = 0$ , and
  - 3.  $\Pr_{g \sim \mathcal{F}_n}[A(\mathsf{tt}(g)) = 1] \geq \frac{1}{2}$ .

### Definition (PRGs)

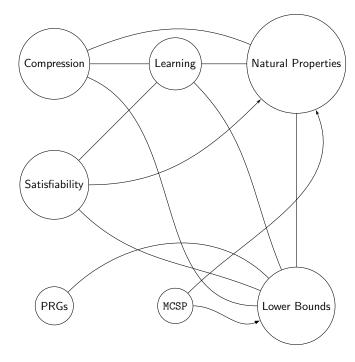
Let  ${\mathcal F}$  be a class of functions on n variables and  ${\varepsilon}>0$ . We say that

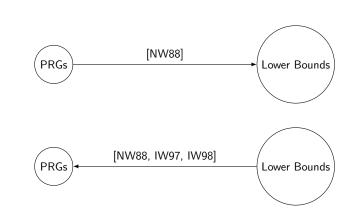
$$G: \{0,1\}^s \to \{0,1\}^n$$
 is a PRG that  $\varepsilon$ -fools  $\mathcal F$  if

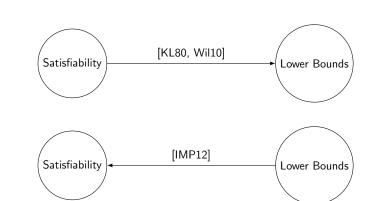
$$\left| \Pr_{y \sim \{0,1\}^s} [f(G(y)) = 1] - \Pr_{x \sim \{0,1\}^n} [f(x) = 1] \right| \leq \varepsilon,$$

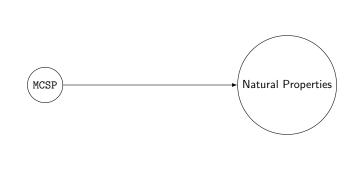
for all  $f \in \mathcal{F}$ .

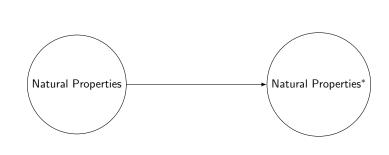
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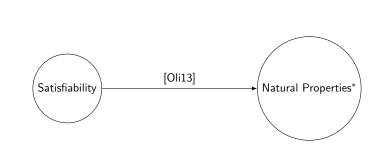


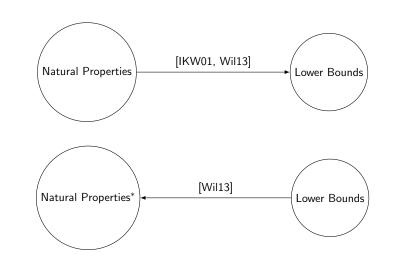


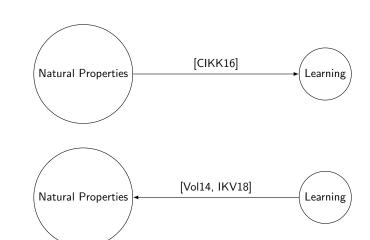


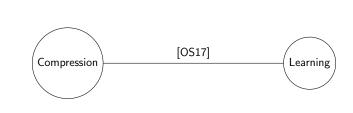


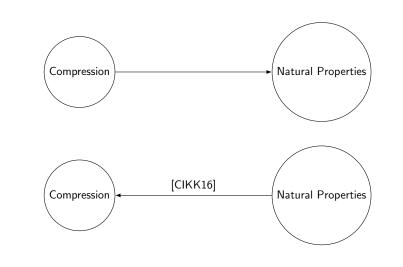


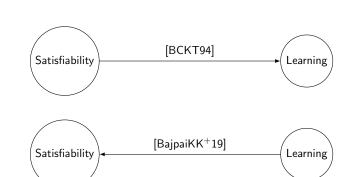


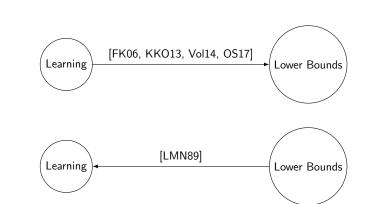


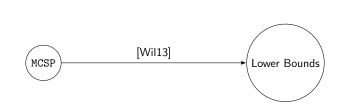


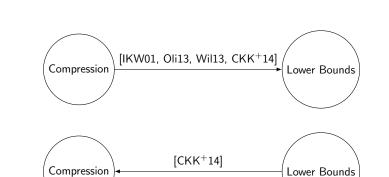












Example: Learning to Lower Bounds





### Theorem ([FK06])

Let  $s : \mathbb{N} \to \mathbb{N}$  be a (polynomially-bounded) size function.

Let  $\mathfrak{C}[s] \subseteq \mathsf{P}_{\mathsf{/poly}}$  be a circuit class exactly learnable in time  $t := 2^{s^{o(1)}}$  from membership and equivalence queries.

Then,  $\mathsf{EXP}^\mathsf{NP} \not\subseteq \mathfrak{C}[s]$ .

**Note:** The learning algorithm runs in subexponential time.

### **Definition**

Let  $f \in \mathfrak{C}[s]$  with  $f : \{0,1\}^n \to \{0,1\}$ .

$$\forall x \in \{0,1\}^n : MQ(x) = f(x).$$

### Definition

Let  $f \in \mathfrak{C}[s]$  with  $f : \{0,1\}^n \to \{0,1\}$ .

For all hypotheses (circuits)  $h: \{0,1\}^n \to \{0,1\}$  we have that

$$\mathsf{EQ}(h) = \begin{cases} 1, & \text{if } h(x) = f(x) \text{ for all } x \in \{0,1\}^n, \\ y : h(y) \neq f(y), & \text{otherwise.} \end{cases}$$

### Theorem ([FK06])

Let  $s : \mathbb{N} \to \mathbb{N}$  be a (polynomially-bounded) size function.

Let  $\mathfrak{C}[s] \subseteq \mathsf{P}_{\mathsf{/poly}}$  be a circuit class exactly learnable in time  $t := 2^{s^{o(1)}}$  from membership and equivalence queries.

Then,  $\mathsf{EXP}^\mathsf{NP} \not\subseteq \mathfrak{C}[s]$ .

**Note:** The learning algorithm runs in subexponential time.

### Proof.

- 1. Assume that  $\mathsf{EXP}^\mathsf{NP} \subseteq \mathfrak{C}[s] \subseteq \mathsf{P}_\mathsf{/poly}.$  (\*)
- 2. This implies  $EXP^{NP} = P^{\#P}$ .
- 3. Thus PERM is complete for EXP<sup>NP</sup>.
- 4. Now use the (time-t) learning algorithm to show

$$PERM \in SUBEXP^{NP} := TIME(2^{n^{o(1)}})^{NP}$$
.

5. This yields  $EXP^{NP} \subseteq SUBEXP^{NP}$ . A contradiction!

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Assume that  $\mathsf{EXP}^\mathsf{NP} \subseteq \mathfrak{C}[s] \subseteq \mathsf{P}_{/\mathsf{poly}}.$ 

This implies  $EXP^{NP} = P^{\#P}$ , as

$$\begin{split} \mathsf{EXP^{NP}} &\subseteq \mathsf{P_{/poly}} \overset{[\mathsf{BH92}]}{\Longrightarrow} \mathsf{EXP^{NP}} = \mathsf{EXP}, \\ \mathsf{EXP} &\subseteq \mathsf{P_{/poly}} \overset{[\mathsf{BN91}]}{\Longrightarrow} \mathsf{EXP} = \mathsf{MA}, \end{split}$$

and

$$MA \subseteq PH \subseteq P^{\#P}$$
.

- 1. Assume that  $\mathsf{EXP}^\mathsf{NP} \subseteq \mathfrak{C}[s] \subseteq \mathsf{P}_\mathsf{/poly}.$  (\*)
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We will use the (time-t) learning algorithm to show

 $PERM \in SUBEXP^{NP}$ ,

by induction on i.

Here, i refers to the input matrices size, namely  $i \times i$ .

**Input:** x; a  $n \times n$  Boolean matrix.

For  $i \leftarrow 1$  to n:

- a. If i=1, then output the trivial circuit for PERM on  $1\times 1$  matrices; else
- b. Run the (time-t) exact learning algorithm to find  $C_i$ , the circuit that computes PERM on  $i \times i$  matrices.
- c. Simulate MQ and EQ using  $C_{i-1}$  and an NP oracle.

**Output:**  $C_n(x) = PERM(x)$ .

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- c. Simulate MQ and EQ using  $C_{i-1}$  and an NP oracle.

**Output:**  $C_n(x) = PERM(x)$ .

Simulate MQ(y) = PERM(y), with |y| = i, using  $C_{i-1}$ .

Self-reduction: PERM(y) can be computed by i calls to  $C_{i-1}$ .

This runs in polynomial (in n) time as  $i \le n$  and  $C_{i-1}$  is of polynomial (in n) size.

Simulate EQ(h), with  $h: \{0,1\}^i \to \{0,1\}$ , using  $C_{i-1}$  and an NP oracle:

i. Ask the NP oracle:

"Does there exist a 
$$z \in \{0,1\}^i$$
 such that  $h(z) \neq \text{PERM}(z)$ ?"

- ii. If NO, then h(x) = PERM(x) for all  $x \in \{0, 1\}^i$ .
- iii. If YES, then find z. Ask the NP oracle:

"Does there exist a 
$$z \in 0 \{0,1\}^{i-1}$$
 such that  $h(z) \neq \text{PERM}(z)$ ?"

Repeat until all i bits of z are computed.

This runs in polynomial (in n) time as  $i \le n$  and h and  $C_{i-1}$  are of polynomial (in n) size.

**Input:** x; a  $n \times n$  Boolean matrix.

For  $i \leftarrow 1$  to n:

- a. If i=1, then output the trivial circuit for PERM on  $1\times 1$  matrices; else
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- c. Simulate MQ and EQ using  $C_{i-1}$  and an NP oracle.

**Output:**  $C_n(x) = PERM(x)$ .

What is the running time?

The running time of the previous procedure is

$$poly(n, t) = poly(n, 2^{s^{o(1)}}).$$

Note that s = poly(n); this yields PERM  $\in$  SUBEXP<sup>NP</sup>.

#### Proof.

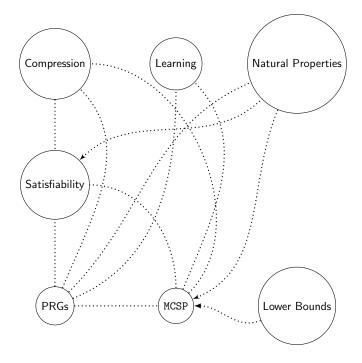
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5. This yields  $\mathsf{EXP}^\mathsf{NP} \subseteq \mathsf{SUBEXP}^\mathsf{NP}$ . A contradiction!

The proof is complete.

## Discussion: OPEN Problems



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# Thank you!

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